

<b>Part A - Introduction</b>			
Subject	<b>Business Administration</b>		
Semester	<b>IV</b>		
Name of the Course	<b>Capital Markets</b>		
Course Code	<b>B23-BBA-401</b>		
Course Type: (CC/MCC/MDC/CC- M/DSEC/VOC/DSE/PC/AEC/VAC)	<b>CC-A4</b>		
Level of the course (As per Annexure-I)	<b>Intermediate-Level</b>		
Pre-requisite for the course (if any)	<b>None</b>		
Course Learning Outcomes (CLO):	<p>After completing this course, the learner will be able to:</p> <ol style="list-style-type: none"> <li>1. Understand regulatory framework of capital markets.</li> <li>2. Understand the role of primary and secondary capital markets.</li> <li>3. Understand depository system across the global capital markets</li> <li>4. Understand the role of development banks and financial institution in India.</li> </ol> <hr/> <p>5*.</p>		
Credits	Theory	Practical	Total
	<b>4</b>	<b>0</b>	<b>4</b>
Contact Hours	<b>60</b>	<b>0</b>	<b>60</b>
Max. Marks: <b>100</b> Internal Assessment Marks: <b>30</b> End Term Exam Marks: <b>70</b>		Time: <b>3 Hours</b>	

## Part B- Contents of the Course

### Instructions for Paper- Setter

The Paper-Setter shall set *nine* questions in all and the question paper shall be divided into two parts. **Part ‘A’** shall comprise *four* short answer type questions from the whole of the syllabus carrying 3.5 marks each, which shall be compulsory. **Part ‘B’** shall comprise *eight* questions (*two* questions from each unit) carrying 14 marks each and the student will be required to attempt *four* questions selecting *one* question from each unit.

Unit	Topics	Contact Hours
I	Capital Markets - meaning, nature, role and features; recent reforms in the capital markets across the world; regulatory framework of Indian capital market; capital market instruments; innovation in financial instruments.	15
II	Primary capital market - scenario in India, primary capital market intermediaries, primary market activities, methods of raising resources from primary market; secondary capital market - scenario in India, reforms in secondary market; organization and management, trading and settlement, listing of securities; stock market index; Role of SEBI to increase liquidity in the stock market.	15
III	Depository system - meaning, need and benefits of depository system in India; depository process, functioning of NSDL and SHCIL; importance of debt market in capital market; participants in the debt market, types of instrument treated in the debt market, primary and secondary segments of debt market.	15
IV	Role and policy measures relating to development banks and financial institution in India, products and services offered by IFCI, IDBI, IIBI, SIDBI, IDFC, EXIM Bank, NABARD and ICICI Meaning and benefits of mutual funds, types of mutual funds, SEBI guidelines relating to mutual funds.	15
V*		

### Suggested Evaluation Methods

#### Internal Assessment:

##### ➤ Theory

- Class Participation: **5**
- Seminar/presentation/assignment/quiz/class test etc.: **10**
- Mid-Term Exam: **15**

##### ➤ Practicum

- Class Participation:
- Seminar/Demonstration/Viva-voce/Lab records etc.:
- Mid-Term Exam:

End Term Examination: **70**

## Part C-Learning Resources

### Recommended Books/e-resources/LMS:

1. Pathak, Bharati V. *The Indian Financial System*. Pearson Education
2. Khan, M. Y. *Indian Financial System*. Tata McGraw Hill
3. Bhole, L M. *Financial Institutions and Markets*. Tata McGraw Hill

\*Applicable for courses having practical component.