INTRODUCTION TO FINANCIALSERVICES

Max. Marks:100

External Assessment: 80

Internal Assessment:20

Note: There will be eight questions in all. A candidate is required to attempt five questions including the question no. 1 which is compulsory. Question no. 1 will comprise of six short answer questions. All questions shall carry equal marks.

Financial services

Meaning, importance of financial services, types of financial services, financial services and economic environment, players in financial services

Merchant banking, functions & activities, Issues management: managing new issues, Equity issues – Rights issues ,underwriting :functions, bankers to an issue, book building and reverse book building, debenture trustees, portfolio managers. An overview of role of SEBI.

Leasing and hire purchase, concepts and features, types of lease accounts Factoring & Forfaiting, Mutual funds - Structure of Mutual Funds- Types Mutual Funds – Advantages of mutual funds - Exchange Traded Funds, Credit rating. Stock broking, Consumer finance, CreditCards.

Reference Books:

Financial Services—M.Y.Khan – Tata Mc GrawHill Merchant Banking Principles and Practice : H.R,Machiraju – New AgeInternational Financial Services – Gorden & Nataraju –HPH Merchant banking and financial services – N. Mohan – Excelbooks Indian Financial System – Pathak - PearsonEducation Corporate Finance- Principles and Problems- P VKulkarni.